



FORM PTO 149		SERIAL NO. 10/814,662	CASE NO. 9683/183
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		FILING DATE March 31, 2004	GROUP ART UNIT 2134
(use several sheets if necessary)		APPLICANT(S): Naoki Naruse et al.	CONFIRMATION NO. 5965

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	D1	5,673,315	09/30/1997	Wolf		
	D2	6,317,742 B1	11/13/2001	Nagarathnam et al.		
	D3	6,766,353 B1	07/20/2004	Lin et al.		
	D4	6,971,016 B1	11/29/2005	Barnett		
	D5	6,976,165 B1	12/13/2005	Carpentier et al.		
	D6	2005/0160045 A1	07/21/2005	Watanabe et al.		
	D7	2005/0166264 A1	07/28/2005	Yamada et al.		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	D8	EP 0 813 132 A2	12/17/1997	EPO		
	D9	EP 1 132 796 A1	09/12/2001	EPO		
	D10	JP 2001-117769 A	04/27/2001	JPO		Abstract
	D11	JP 2001-216043 A	08/10/2001	JPO		Translation
	D12	JP 2001-243062 A	09/07/2001	JPO		Abstract
	D13	JP 2003-50641 A	02/21/2003	JPO		Abstract
	D14	WO 00/42498 A1	07/20/2000	WIPO		Abstract
	D15	WO 98/21683 A2	05/22/1998	WIPO		

## OTHER ART - NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL		(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	D16	Edited by ASCII Shoseki Henshubu "i-Mode Java Programming - Stand Alone Application Part revised new edition" ASCII Coup., May 21, 2001, total 17 pages (with partial translation)
	D17	Li, G. "Java Series Java2 Platform Security", Kabushiki Kaisha Pearson Education, First Edition, November 30, 2000, total 14 pages (with partial translation)
	D18	Computer Today, No.87, September 1, 1998, total 9 pages (with partial translation)
	D19	Translation of International Preliminary Examination Report issued October 6, 2003 in International Application number PCT/JP03/03974
	D20	Megler, V., "i-mode From bandwidth problem into Internet phenomenon", Developerworks, February 2002, XP002329582, 13 Pages
	D21	Sun Microsystems, Inc., "Default Policy Implementation and Policy File Syntax", Java Sun, October 30, 1998, XP002329583, 11 Pages
	D22	NTT DoCoMo, "i-mode Java Content Developer's Guide -Functional Descriptions-", Release 1.1, May 14, 2000 total 12 pages
	D23	Jess Garms, "Professional Java Security", Publishing House of Electronics Industry, Jan. 31, 2002 (with translation) total 35 pages

EXAMINER	/Piotr Poltorak/	DATE CONSIDERED	12/09/2008
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM PTO-1449	SERIAL NO. 10/814,662	CASE NO. 9683/183
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EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	D24	Li Gong, "Java2 Platform Security", Japan, Pearson Education, Inc., November 30, 2000, 1 <sup>st</sup> edition, pp 41-44 and 123-138 (ISBN: 4-89471-193-1) (with conscious explanation)
	D25	ASCII Book Editorial Department, "i mode Java programming – Standalone Applications: Newly-Revised Edition", Japan, ASCII Corporation, May 21, 2001, 1 <sup>st</sup> Edition, pp 19, 20 and 40-52 (ISBN: 4-7561-3790-3) (with conscious explanation)
	D26	Kaku, T. et al., "Introduction to Java Programming in i-mode, 1 <sup>st</sup> Edition" <i>Nikkei Business Publications, Inc.</i> , March 26, 2001, pp.37-41 (with partial translation)
	D27	"i Appli Service & 503i Series" <i>Business Communication</i> , vol.38, No.2, February 1, 2001, pp. 44-47 (with partial translation)
	D28	Jaworski, J., "Java 2 Security Programming" <i>Java Security Handbook</i> , 1 <sup>st</sup> Edition, April 25, 2001, pp.79-90, 529-541(with partial translation)
	D29	Kawaguchi, "Practically Useful Homepages Vol. 30", <i>Nikkei PC21</i> , <i>Nikkei Business Publication, Inc.</i> , Jun. 1, 2000, Vol. 5, No. 11 pp150-154 (with partial translation)
	D30	Chinese Office Action dated May 12, 2006 in Chinese patent application number 03807636.5 (with translation)
	D31	Chinese Office Action dated December 1, 2006 in Chinese patent application number 03807636.5 (with translation)
	D32	Japanese Office Action issued August 28, 2007 in Japanese Patent Application number 2002-001843 (with translation)
	D33	Japanese Office Action issued October 16, 2007 in Japanese Patent Application No. 2003-581004 (with translation)
	D34	Japanese Office Action issued July 22, 2008 in Japanese Patent Application number 2002-001843 (with translation)

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